THE HONORABLE ROBERT S. LASNIK 1 2 3 4 5 6 UNITED STATES DISTRICT COURT 7 WESTERN DISTRICT OF WASHINGTON AT SEATTLE 8 9 MICHAEL Z. MORICZ, an individual, Case No. 10-cv-01240-RSL 10 Plaintiff, JOINT CLAIM CONSTRUCTION AND PREHEARING STATEMENT 11 v. 12 GOOGLE, INC., a Delaware corporation, 13 Defendant. 14 15 The parties hereby submit this Joint Claim Construction and Prehearing Statement, 16 pursuant to Local Patent Rule 132 and this Court's Scheduling Order (Dkt. # 27), to construe the 17 disputed claim terms in U.S. Patent Nos. 7,693,956 ("'956 Patent"); 7,716,303 ("'303 Patent"); 18 and 7,730,160 ("'160 Patent") (collectively, "patents-in-suit"). 19 **Undisputed Claim Term** I. 20 The parties agree on the construction of the following term: 21 **PATENT TERM** AGREED CONSTRUCTION 22 **CLAIMS '956: 1-6, 8** Input field Graphical component which allows a user to provide 23 **'**303: 1, 14 textual or graphic input. **160**: 1, 3, 5, 10 24 25 26

JOINT CLAIM CONSTRUCTION AND PREHEARING STATEMENT – 1 10-cv-01240-RSL

II. Disputed Claim Terms

Below is a table identifying the terms to be construed in connection with the *Markman* hearing ("Hearing") currently scheduled for December 8, 2011 for each of the three asserted patents.

	DISPUTED TERM	PATENT CLAIMS
1.	Ahead of	'956: 1, 4.
		'303: 1, 16.
		'160: 1.
2.	Answer	'956: 1, 2, 4, 5.
		'303: 1, 14, 16.
		'160: 1, 3, 10.
3.	Answer page	' 956: 1, 4.
		' 303: 1, 16.
		'160: 1.
4.	Dynamically generate	'956: none.
		'303: 1.
		' 160: 1.
5.	Index / indexing	'956 : 1, 4.
		'303: 1.
		' 160: 1.
6.	Information location	'956: 1, 3, 4, 8.
		'303: 1, 14.
		'160: 1, 5, 10.
7.	Information page	'956: 1, 2, 3, 4, 8
		'303: 1.
		'160: 1.
8.	Query	'956: 3, 8.
		'303: 1.
		'160: 1, 5.
9.	Search the Internet	'956: 1, 4.
		'303: 1.
		' 160: 1.
10.	Second information location from which the input field is	'956: 1, 4.
	generated	'303: none.
		'160: none.

The parties also dispute whether the term "first and second location" in dependent claims 9 and 10 of the '956 patent is indefinite or infringed. Because this term arises only in dependent claims, however, the possibility exists that Plaintiff will not assert these claims at

trial. The parties thus agree and request that, in the interest of economy, the allegations of indefiniteness and/or infringement of this term be resolved, if necessary, later in the case during summary judgment briefing.

Below is a Joint Claim Chart setting forth the parties' proposed constructions for the disputed terms in the three asserted patents, along with citations to intrinsic and extrinsic evidence. The citations provided are merely representative of the respective elements and not exhaustive. In particular, since the three patents-in-suit share much of the same specification and similar prosecution histories, citations may be given to one patent without repeating citations for the identical or nearly identical portions of the other two patents.

9	repeating entirons for the identical of hearty identical portions of the other two patents.			
0	CLAIM LANGUAGE	PLAINTIFF'S PROPOSED CONSTRUCTION AND	DEFENDANT'S PROPOSED CONSTRUCTION AND	
11	(disputed terms in bold)	EVIDENCE IN SUPPORT	EVIDENCE IN SUPPORT	
12	Ahead of	Ahead of	Ahead of	
1314151617	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction: Before in a sequence of answers Intrinsic Evidence: '956 Patent: 11:12-17; FIGs. 10a-c. '303 Patent: 11:34-49; 12:10-15;	Proposed Construction: Placed before (i.e. above the other search results, not at a side of or in the middle of them) Intrinsic Evidence:	
17 18	'160: 1.	FIGs. 10a-c, 12a-c. '160 Patent: 11:22-37; 11:64-12:6; FIGs 10a-c, 12a-c.	'956 patent: 11:7-17; FIGs. 10a-c '303 patent: 11:34-13:11; FIGs. 10a- 10d, 12a-12d, 14a-14d	
19 20		Extrinsic Evidence: Expert testimony of Ivan	'160 patent: 11:22-12:39; FIGs. 10a- 10d, 12a-12d '956 Prosecution History, Final	
21		Zatkovich.	Rejection (Nov. 10, 2009) '956 Prosecution History, Applicant	
22			Arguments (Nov. 24, 2009) Extrinsic Evidence:	
23 24			Rebuttal testimony of expert Dr. Ellis Horowitz	
25	Answer	Answer	Answer	
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1	Found in	Proposed Construction:	Proposed Construction:
2	claim	Reply element of an answer page	Result returned in the search result
	numbers:	responsive to a query	page
3	'956: 1, 2, 4,	Intrinsic Evidence:	Intrinsic Evidence:
4	5.	'956 Patent: Abstr.; 1:50, 2:13,	'956 Patent: 1:46-2:20; 2:50-57; 2:65-
5	'303: 1, 14, 16.	2:55-57; 3:2-3, 5:8, 6:49, 8:42; 11:48-58; FIGs. 10a-d.	3:3; 3:46-50; 3:51-56; 5:8-13; 5:66- 6:3; 6:37-41; 8:38-44; 8:59-9:4; 9:24-
3	'160: 1, 3, 10.	'303 Patent: Abstr; 11:20-12:19;	30; 9:41-45; 9:54-10:6; 11:7-17;
6	, , , , , , , , , , , , , , , , , , , ,	FIGs. 10a-d; 12a-d.	FIGs. 5, 8, 9, 10
7		'160 Patent: Abstr; 11:8-12:6;	'303 Patent: 11:20-13:11; FIGs. 10a-
8		FIGs. 10a-d; 12-d. Interview Summary (Apr. 16,	10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-
٥		2007) [MOR0656-658].	10d, 12a-12d
9		Response to Office Action, at 13	'956 Prosecution History, Argument
10		(Jul. 28, 2008) [MOR0902-919]. Response to Office Action, at 14	at 12-14 (July 28, 2008)
		(Dec. 4, 2008) [MOR0857-873].	Extrinsic Evidence:
11		(, , , , , , , , , , , , , , , , , , ,	Rebuttal testimony of expert Dr. Ellis
12		Extrinsic Evidence: Expert	Horowitz
13		testimony of Ivan Zatkovich.	
	Answer page	Answer page	Answer page
14	_		
	Found in	Proposed Construction:	Proposed Construction:
14	_		
14 15 16	Found in claim numbers:	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence:
14 15 16 17	Found in claim numbers: '956: 1, 4.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-
14 15 16	Found in claim numbers:	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence:
14 15 16 17	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-
14 15 16 17 18 19	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d
14 15 16 17 18	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-
14 15 16 17 18 19	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-10d, 12a-12d '956 Prosecution History, Argument
14 15 16 17 18 19 20	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-10d, 12a-12d
14 15 16 17 18 19 20 21 22	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-10d, 12a-12d '956 Prosecution History, Argument at 12-14 (July 28, 2008)
14 15 16 17 18 19 20 21 22 23	Found in claim numbers: '956: 1, 4. '303: 1, 16.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-10d, 12a-12d '956 Prosecution History, Argument
14 15 16 17 18 19 20 21 22	Found in claim numbers: '956: 1, 4. '303: 1, 16. '160: 1.	Proposed Construction: Plain meaning	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-10d, 12a-12d '956 Prosecution History, Argument at 12-14 (July 28, 2008) Extrinsic Evidence: Rebuttal testimony of expert Dr. Ellis Horowitz
14 15 16 17 18 19 20 21 22 23	Found in claim numbers: '956: 1, 4. '303: 1, 16. '160: 1.	Proposed Construction:	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-10d, 12a-12d '956 Prosecution History, Argument at 12-14 (July 28, 2008) Extrinsic Evidence: Rebuttal testimony of expert Dr. Ellis
14 15 16 17 18 19 20 21 22 23 24	Found in claim numbers: '956: 1, 4. '303: 1, 16. '160: 1.	Proposed Construction: Plain meaning	Proposed Construction: Unique search result page Intrinsic Evidence: '956 Patent: 1:46-2:20; 2:50-57; 2:65-3:3; 9:54-10:6; 11:7-17; FIGs. 5a-5b, 8a, 10a-c '303 Patent: 11:20-13:11; FIGs. 10a-10d, 12a-12d, 14a-14d '160 Patent: 11:8-12:39; FIGs. 10a-10d, 12a-12d '956 Prosecution History, Argument at 12-14 (July 28, 2008) Extrinsic Evidence: Rebuttal testimony of expert Dr. Ellis Horowitz

1	Found in claim	Create in real-time in response to a request	Plain meaning
2	numbers:	Intrinsic Evidence:	<u>Intrinsic Evidence</u> : '956 Patent: 1:31-45; 2:65-3:3; 3:42-
3	'956: none.	'303 Patent: 1:27-29; 1:35-38;	4:12; 4:38-45; 5:8-35; 5:60-65; 6:33-
4	'303: 1. '160: 1.	1:39-41; 2:14-24; 10:11-15; FIGs. 9b.	41; 8:38-44; 8:63-9:4; 9:24-30; 9:41- 45; 9:54-10:21; 11:7-17; FIGs. 1, 6a-
5	100. 1.	'160 Patent: 1:27-29; 1:35-38;	6d 6d
6		1:39-41; 2:14-24; 9:66-10:3; FIGs. 9b.	Extrinsic Evidence:
7		Interview Summary (Apr. 16, 2007) [MOR0656-658].	Rebuttal testimony of expert Dr. Ellis Horowitz
8		Extrinsic Evidence:	
9		Expert testimony of Ivan	
10		Zatkovich.	
11	Index / Indexing	Index / Indexing	Index / Indexing
12		Proposed Construction:	Proposed Construction:
13	Found in claim	A reference or locator, e.g., a Uniform Resource Locator	Indefinite
14	numbers:		Intrinsic Evidence:
15	'956: 1, 4.	<u>Intrinsic Evidence</u> : '956 Patent: 1:50-53; 2:11-20;	'956 Patent: 1:21-30; 1:46-2:20; 2:62-64; 4:5-12; 5:8-13; 8:38-9:4; 9:54-65;
	' 303: 1.	3:57-60; 3:62-4:12; 4:38-40; 9:41-	10:7-10; 11:7-17; FIGs. 1, 2, 5a, 5b,
16	'160: 1.	45; 9:55-56. '303 Patent: 1:54-59; 2:15-24;	8 '160 Patent: 11:55-64; 12:21-30
17		4:10-32; 4:58-61; 9:65-10:2;	'303 Patent: 12:1-10; 12:31-40;
18		10:14-16. '160 Patent: 1:54-59; 2:15-24; 4:4-	12:61-13:2 '956 Prosecution History, Claims
19		26; 4:52-55; 9:53-57; 10:1-3. Interview Summary (Apr. 16,	(Mar. 25, 2003) '956 Prosecution History, Preliminary
20		2007) [MOR0656-658].	Amendment (May 19, 2006)
21		Preliminary Amendment, at 9 (May. 16, 2006) [MOR0782-	'956 Prosecution History, Examiner's Amendment & Interview Summary
22		0799].	(Jan. 8, 2010)
		Response to Office Action, at 14 (Jul. 28, 2008) [MOR0902-919].	Extrinsic Evidence:
23			Moricz Dep. Tr. (July 22, 2011)
24		Extrinsic Evidence: W3C Memo re Uniform Resource	Kasha Dep. Tr. (June 3, 2011) Rebuttal testimony of expert Dr. Ellis
25		Locator (Mar. 21, 1994).	Horowitz
26		Expert testimony of Ivan	

	Zatkovich.	
Information location	Information location	Information location
location	Proposed Construction:	Proposed Construction:
Found in claim	Location of an information source	Unique URL
numbers:	Intrinsic Evidence: '956 Patent: Abstr.; 11-43-44;	<u>Intrinsic Evidence</u> : '956 Patent: 1:25-27; 3:62-4:12;
' 956: 1, 3, 4,	3:46-48; 4:13-18.	FIGs. 3-5, 10a-10c
8. '303: 1, 14.	'303 Patent: Abstr.; 3:66-4:1; 4:33-38.	'303 Patent: 11:55-13:11; FIGs. 10a- 10d, 12a-12d, 14a-14d
'160: 1, 5, 10.	'160 Patent: Abstr.; 3:60-62; 4:27-32.	'160 Patent: 11:43-12:39; FIGs. 10a- 10d, 12a-12d
		'956 Prosecution History, Argument
	Extrinsic Evidence: Expert testimony of Ivan	at 12-13 (April 16, 2007)
	Zatkovich.	Extrinsic Evidence: Rebuttal testimony of expert Dr. Ell
		Horowitz
Information	Information page	Information page
page	Duran de Constantino	Proceeds Construction
Found in claim	Proposed Construction: Information collection displayable by a browser	Proposed Construction: Unique web page
numbers:	oy a browser	Intrinsic Evidence:
'956: 1, 2, 3,	Intrinsic Evidence: '956 Patent: Abstr.; 11-43-44;	'956 Patent: 1:46-2:20; 2:50-57; 2:65 3:3; 3:46-50; 4:7-12; 5:8-13; 5:66-6
4, 8. '303: 1.	3:46-48; 4:13-18. '303 Patent: Abstr.; 3:66-4:1; 4:33-	6:37-41; 7:18-26; 8:38-44; 8:59-9:4; 9:24-30; 9:41-45; 9:54-10:6; 11:7-1
'160: 1.	38.	FIGs. 5, 6, 8, 9, 10
	'160 Patent: Abstr.; 3:60-62; 4:27-32.	Extrinsic Evidence:
	Extrinsic Evidence:	Rebuttal testimony of expert Dr. Ell Horowitz
	Expert testimony of Ivan	HOLOWITZ
	Zatkovich.	
Query	Query	Query
Found in	Proposed Construction:	Proposed Construction:
claim	Inquiry	Query string of a URL

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1		Intrinsic Evidence:	Intrinsic Evidence:
	'956: 3, 8.	'303 Patent: 3:54-61; 3:66-4:3;	'956 Patent: 1:31-45; 2:33-36; 2:42-
2	'303: 1.	4:10-19.	45; 2:65-3:3; 3:42-4:12; 4:38-45;
3	'160: 1, 5.	'160 Patent: 3:49-55; 3:60-64; 4:4-	4:56-65; 5:8-35; 5:66-7:17
3		13.	'956 Prosecution History, Preliminary
4			Amendment (May 19, 2006)
_		Extrinsic Evidence:	
5		Expert testimony of Ivan	Extrinsic Evidence:
6		Zatkovich.	Kasha Dep. Tr. (June 3, 2011)
			HTML 4.01 Specification (1999)
7	Search the	Search the Internet	Search the Internet
0	Internet	Search the Internet	Search the Internet
8	internet	Proposed Construction:	Proposed Construction:
9	Found in	Directs the search service	Search a worldwide collection of
	claim	computer to respond with	networks, not a database on the
10	numbers:	information about relevant	search service's server
11		resources in the Internet	
11	' 956: 1.		Intrinsic Evidence:
12	' 303: 1.	Intrinsic Evidence:	'956 Patent, 1:21-2:20; 4:38-45; 8:38-
	' 160: 1.	'956 Patent: 4: 38-45; 4:48-50;	44; FIGs. 8a-8d, 9a-9b, 10a-10c
13		8:38-44; 9:54-58; 11:39-45; FIG.	'956 Prosecution History, Argument
14		1.	at 12-14 (July 28, 2008)
14		'303 Patent: 8:61-67; FIG. 1.	'956 Prosecution History, Argument
15		'160 Patent: 8:50-56; FIG. 1.	at 14 (Dec. 4, 2008)
		Office Action (Jun. 9, 2009)	'956 Prosecution History, Argument
16		[MOR0682-702].	at 13 (May 17, 2009)
17		Response to Final Office Action, at	'956 Prosecution History, Argument
1 /		13 (Apr. 17, 2009) [MOR0854-	at 7-9 (Sept. 9, 2009)
18		856]. Response to Office Action, at 8-9	Dictionary/Treatise Definitions:
10		(Sep. 9, 2009) [MOR0920-931].	Microsoft Computer Dictionary (5th
19		(Sep. 9, 2009) [WOR0920-931].	ed. 2002)
20		Extrinsic Evidence:	
		Chris Sherman and Gary Price, <i>The</i>	Extrinsic Evidence:
21		<i>Invisible Web</i> , at Chapters 1-2	Moricz Dep. Tr. (July 22, 2011)
22		(2001).	Kasha Dep. Tr. (June 3, 2011)
22		Expert testimony of Ivan	Rebuttal testimony of expert Dr. Ellis
23		Zatkovich.	Horowitz
_			
24	Second	Second information location	Second information location from
25	information	from which the input field is	which the input field is generated
	location from which the	generated	Proposed Constructions
26	which the		Proposed Construction:

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1	input field is	Proposed Construction:	Second information location from
	generated	Plain meaning	which the input field is retrieved or
2			embedded
3	Found in		
3	claim		Intrinsic Evidence:
4	numbers:		'956 Patent: 10:3-10; 11:7-17; FIGs.
			10a-c
5	'956: 1, 4.		'303 Patent: 11:20-13:11; FIGs. 10a-
	'303: none.		10d, 12a-12d, 14a-14d
6	'160: none.		'160 Patent: 11:8-12:39; FIGs. 10a-
7			10d, 12a-12d
′			'965 Prosecution History,
8			Amendment (Dec. 4, 2008)
			'160 Prosecution History, Argument
9			at 8 (April 21, 2009)
10			
10			Extrinsic Evidence:
11			Rebuttal testimony of expert Dr. Ellis
11			Horowitz

III. Length of and Proposed Order of Presentation at Claim Construction Hearing

The parties request that the Court allot four hours for the Hearing. The parties also request that: (a) the disputed terms be addressed on a term-by-term basis, (b) each party be allowed half of the available time for presenting evidence and argument, and (c) Plaintiff's presentation may precede Defendant's for each term.

IV. Live Testimony

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The Plaintiff proposes to call its technical expert, Ivan Zatkovich, to opine on claim construction. Mr. Zatkovich's expert report pursuant to Fed. R. Civ. P. 26(a)(2)(B) was timely served on the Defendant and is available to the Court upon request. The Defendant may cross-examine Mr. Zatkovich and call Dr. Ellis Horowitz if necessary to rebut Mr. Zatkovich's testimony.

V. Pre-Hearing Conference

The parties believe a pre-hearing conference prior to the Hearing would be helpful to address the schedule for the Hearing. The parties propose a 30 minute pre-hearing conference

at the Court's convenience within the week prior to the hearing. 1 VI. **Independent Expert and Tutorial** 2 The parties have jointly proposed Dr. Don Turnbull as a technical advisor to the 3 Court (Docket No. 28). The parties expect Dr. Turnbull's presence at the hearing would 4 assist the Court in claim construction. 5 VII. **Tutorial** 6 If the Court retains an independent expert, then the parties do not propose that a separate 7 technology tutorial would be necessary, although each party will likely present basic 8 technological background with its arguments. However, the parties are open to presenting a 9 10 tutorial if the Court decides not to use an independent expert or believes a tutorial would be beneficial. If such a tutorial is necessary, the parties request an additional hour for the hearing 11 and request that each party be allotted 30 minutes for a tutorial. 12 13 14 15 16 17 18 19 20 21 22 23 24 25

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1	Dated this 26th day of August, 2011.
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4	By: /s/Johnathan E. Mansfield SCHWABE, WILLIAMSON & WYATT,
5	P.C. Johnathan E. Mansfield, WSBA#27779
6	jmansfield@schwabe.com Devon Zastrow Newman, WSBA#36462
7	dnewman@schwabe.com Virginia R. Nicholson, WSBA#39601
8	vnicholson@schwabe.com Facsimile: 503.796.2900
9	Attorneys for Plaintiff, Michael Z. Moricz
10	
11	By: /s/ Ramsey M. Al-Salam PERKINS COIE LLP
12	Ramsey M. Al-Salam, WSBA No. 18822
13	RAlSalam@perkinscoie.com
14	Ryan J. McBrayer, WSBA No. 28338 RMcBrayer@perkinscoie.com
15	1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099
16	Telephone: 206.359.8000 Facsimile: 206.359.9000
17	Michael J. Engle, pro hac vice
18	mengle@perkinscoie.com 1888 Century Park East, Ste. 1700 Los Angeles, CA 90067
19	Attorneys for Defendant, Google Inc.
20	Attorneys for Defendant, Google me.
21	
22	
23	
24	
25	
26	

1	<u>CERTIFICATE OF SERVICE</u>
2	I hereby certify that on the 26th day of August, 2011, I caused the foregoing to be
3	served on the following attorneys for defendant by electronic service through the Court's
4	ECF system.
5	Ramsey M. Al-Salam
6	ralsalam@perkinscoie.com Ryan J. McBrayer
7	rmcbrayer@perkinscoie.com Perkins Coie LLP
8	1201 Third Avenue, 40 th Floor
9	Seattle, WA 98101
10	Michael J. Engle mengle@perkinscoie.com
11	Perkins Coie LLP 1888 Century Park East, Suite 1700
12	Los Angeles, CA 90067-1721
13	
14	
15	/s/Johnathan E. Mansfield Johnathan E. Mansfield
16	
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18	
19	
20	
21	
22	
23	
24	
25	
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CERTIFICATE OF SERVICE - 1 10-cv-01240-RSL SCHWABE, WILLIAMSON & WYATT, P.C. Attorneys at Law Pacwest Center 1211 SW 5th Ave., Suite 1900 Portland, OR 97204 Telephone 503.222.9981 Fax 503.796.2900